

R. Keith Harrison

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United States Patent and Trademark Office

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FILING OR 371 (c) DATE APPLICATION NUMBER

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/634,031

08/04/2003

Peter L. Cassidy Phillips

1060A

CONFIRMATION NO. 5285

FORMALITIES LETTER

OC000000011157999

Date Mailed: 11/03/2003

NOTICE OF INFORMAL APPLICATION

This application is considered to be informal since it does not comply with the regulations for the reason(s) indicated below. The period within to correct the informalities noted below and avoid abandonment is set in the accompanying Office action.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the citizenship of each inventor.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vingnua 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/633,230

ALEXANDRIA, VA 22314

08/04/2003

Tadashi Miwa

241174US2S

CONFIRMATION NO. 2441

22850 OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET

OC000000011152339*

FORMALITIES LETTER

Date Mailed: 11/03/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

· The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

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Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

EXAMPLES OF FEES BEING ADJUSTED See www.uspto.gov for complete FY 2003		* Indicates fees remain
and FY-2004 Fee Schedules		at FY 2003
DESCRIPTION	FY 2003	amount FY 2004
Basic filing fee - Utility	\$750	\$770
Basic filing fee - Utility (Small Entity)	\$750 \$375	\$770 \$385
Independent claims in excess of three	\$84	\$363 \$86
ndependent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty	\$18	\$18°
Claims in excess of twenty (Small Entity)	\$9	\$9*
Multiple dependent claim	\$280	\$290
Multiple dependent claim (Small Entity)	\$140	\$145
Surcharge - Late filing fee	\$130	\$130
Surcharge - Late filing fee (Small Entity)	\$65	\$65
Design filing fee	\$330	\$340
Design filing fee (Small Entity)	\$165	\$170
Plant filing fee	\$520	\$530
Plant filing fee (Small Entity)	\$260	\$265
Reissue filing fee	\$750	\$770
Reissue filing fee (Small Entity)	\$375	\$385
Reissue independent claims	\$84	\$86
Reissue independent claims (Small Entity)	\$42	\$43
Reissue claims in excess of twenty	\$18	\$18*
Reissue claims in excess of twenty (Small Entity)	\$9	\$9*
Provisional application filing fee	\$160	\$160*
Provisional application filing fee (Small Entity)	\$80	\$80*
Surcharge - Late provisional filing fee	\$50	\$50°
Surcharge - Late provisional filing fee (Small Entity)	\$25	\$25*
Non-English Specification	\$130	\$130*
Extension - First month	\$110	\$110°
Extension - First month (Small Entity)	\$55	\$55*
Extension - Second month	\$410	\$420
Extension - Second month (Small Entity)	\$205	\$210
Extension - Third month	\$930	\$950
Extension - Third month (Small Entity)	\$465	\$475
Extension - Fourth month	\$1,450	\$1,480
Extension - Fourth month (Small Entity)	\$725	\$740
Extension - Fifth month	\$1,970	\$2,010
Extension - Fifth month (Small Entity)	\$985	\$1,005
Petition	\$130	\$130*
Processing returned checks	\$50	\$50*

For further fee information, please call (703-308-HELP)